# UNIQUE JET DETERMINATION AND EXTENSION OF GERMS OF CR MAPS INTO SPHERES

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ABSTRACT. We provide a new way of simultaneously parametrizing arbitrary local CR maps from real-analytic generic manifolds  $M \subset \mathbb{C}^N$  into spheres  $\mathbb{S}^{2N'-1} \subset \mathbb{C}^{N'}$  of any dimension. The parametrization is obtained as a composition of universal rational maps with a holomorphic map depending only on M. As applications, we obtain rigidity results of different flavours such as unique jet determination and global extension of local CR maps.

#### 1. INTRODUCTION

In 1907, Poincaré [42] discovered the first remarkable geometric properties of local biholomorphic mappings sending real hypersurfaces in multidimensional complex space into each other. His work, together with the later work of Cartan [14], Tanaka [45] and Chern-Moser [15] unveiled the striking strong rigidity properties that such maps possess. Among such properties, of particular interest to us in this paper are those of uniqueness and extension.

It follows from [14, 15, 45] that local real-analytic CR diffeomorphims, i.e. local biholomorphic mappings, between Levi-non degenerate real-analytic hypersurfaces in  $\mathbb{C}^N$  are uniquely determined by their 2-jets at any fixed point of the source hypersurface. Subsequent work over the last decades has been devoted to understand to what extent such a uniqueness property were true in further generality. In [3, 6, 8, 23, 31], optimal conditions for the finite jet determination property to hold for CR automorphisms between general real-analytic hypersurfaces, or CR submanifolds of higher codimension, have been found. For Levi-degenerate CR manifolds, a number of results have been obtained exploring the relationship between the jet order required to get uniqueness and the geometry of the manifolds, see [23, 32, 36, 38] and the references therein. In another direction, the above mentioned uniqueness result due to Tanaka, Cartan and Chern-Moser for CR diffeomorphisms has recently been shown to hold for sufficiently smooth CR manifolds, see [11–13, 19, 33].

The present paper proposes a universal parametrization tool (Proposition 3.7) with applications including the finite jet determination and global extension problems for local holomorphic mappings sending real-analytic CR submanifolds embedded in complex spaces of different dimension. In contrast to the biholomorphic setting, these are largely unexplored territories besides the case of local CR maps between spheres  $\mathbb{C}^N \supset \mathbb{S}^{2N-1} \to \mathbb{S}^{2N'-1} \subset \mathbb{C}^{N'}$ , where  $N, N' \geq 2$ . Indeed, by the work of Forstnerič [25], such maps extend automatically as (global) rational

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Received by the editors January 3, 2020, and, in revised form, August 4, 2020.

<sup>2020</sup> Mathematics Subject Classification. Primary 32D15, 32V20, 32H02, 32H04, 32H12, 32V25, 32V40.

Key words and phrases. CR maps, finite jet determination, holomorphic extension.

maps with a uniform bound on their degree; as a consequence, unique determination by a finite jet (at any fixed point) necessarily holds for such maps. However, the above strategy becomes no longer available when tackling CR embeddings from real-analytic hypersurfaces into spheres, as the mappings under consideration need not be rational. And despite having been subject of many related studies (see e.g. [20–22]), it is still an open question to decide whether finite jet determination holds in the latter setting. In this paper, we will answer this by the affirmative by proving the following more general result:

**Theorem 1.1.** Let  $M \subset \mathbb{C}^N$  be a real-analytic minimal CR submanifold. Then for every point  $p \in M$ , there exists an integer k = k(p) such that if  $f, g: (M, p) \rightarrow \mathbb{S}^{2N'-1}$  are two germs of  $\mathbb{C}^{\infty}$ -smooth CR maps with  $j_p^k f = j_p^k g$ , then f = g. Furthermore, the map  $M \ni p \mapsto k(p)$  may be chosen to be bounded on compact subsets of M.

Recall here that M is called minimal (in the sense of [46]) if M does not contain any proper CR submanifold of the same CR dimension as that of M. Since compact real-analytic real hypersurfaces are always minimal (see [18]), we immediately obtain the following:

**Corollary 1.2.** For every compact real-analytic hypersurface  $M \subset \mathbb{C}^N$ , there exists an integer  $\ell = \ell(M)$  such that if  $f, g: (M, p) \to \mathbb{S}^{2N'-1}$  are two germs of  $\mathcal{C}^{\infty}$ -smooth CR maps at some point  $p \in M$  with  $j_p^{\ell} f = j_p^{\ell} g$ , it follows that f = g.

Corollary 1.2 can be applied to get the following boundary uniqueness theorem for proper holomorphic mappings into balls of higher dimension.

**Corollary 1.3.** Let  $\Omega \subset \mathbb{C}^N$  be a bounded domain with smooth real-analytic boundary and  $\mathbb{B}^{N'} \subset \mathbb{C}^{N'}$  be the unit ball. Then there exists an integer  $\ell$ , depending only on  $\partial\Omega$ , such that if  $F, G: \Omega \to \mathbb{B}^{N'}$  are two proper holomorphic mappings extending smoothly up to the boundary near some point  $p \in \partial\Omega$  with  $j_p^{\ell}F = j_p^{\ell}G$ , it follows that F = G.

We will establish Theorem 1.1 (as well as Corollaries 1.2 and 1.3) for local holomorphisms, since all  $\mathcal{C}^{\infty}$ -smooth CR maps under consideration automatically extend holomorphically to a neighborhood of p in  $\mathbb{C}^{N}$  according to [40, 41].

As mentioned above, we apply the same universal parametrization tool (Proposition 3.7) to study the independent question about global extension of germs of CR maps. We shall prove:

**Theorem 1.4.** Let  $M \subset \mathbb{C}^N$  be a real-analytic generic minimal submanifold. Then for every point  $p_0 \in M$ , there exists a neighborhood  $\Omega$  of  $p_0$  in  $\mathbb{C}^N$  such that for every  $q \in \Omega \cap M$ , any germ  $f: (M, q) \to \mathbb{S}^{2N'-1}$  of a  $\mathbb{C}^{\infty}$ -smooth CR map extends meromorphically to  $\Omega$ . Furthermore, if M is a real hypersurface, the meromorphic extension over  $\Omega$  is in fact holomorphic.

Using standard analytic continuation arguments (see §4), Theorem 1.4 provides the following global extension result.

**Corollary 1.5.** Let  $M \subset \mathbb{C}^N$  be a real-analytic hypersurface that is both connected and simply-connected and contains no complex-analytic hypersurface of  $\mathbb{C}^N$ . Then for every point  $p_0 \in M$ , any germ of a  $\mathbb{C}^{\infty}$ -smooth CR map  $f: (M, p_0) \to \mathbb{S}^{2N'-1}$ extends holomorphically to a neighborhood of M in  $\mathbb{C}^N$ . If, moreover, M does not contain any positive dimensional complex-analytic subvariety, the same conclusion holds for merely  $\mathcal{C}^{N'-N+1}$ -smooth CR maps.

There is a substantial literature related to Corollary 1.5. Poincaré [42] was the first to prove that any local biholomorphic map sending a piece of the sphere in  $\mathbb{C}^2$  into itself extends as a global holomorphic map between the corresponding unit balls. Poincaré's global extension phenomenon was later extended by Tanaka [45] and Alexander [1] for spheres in arbitrary dimension. Further generalizations of this extension property for local biholomorphisms have been investigated: extension along paths of local maps between strongly pseudoconvex real-analytic hypersurfaces was considered in [44, 47]; for algebraic real hypersurfaces, or even CR manifolds of higher codimension, general extension results as algebraic maps have been established in [2, 29, 48]. In contrast, Theorem 1.4 and Corollary 1.5 address the global extension problem for local holomorphisms of positive codimension, on which much less is known. In that regard, the reader should note that Theorem 1.4 is equivalent to an extension result along any path starting from  $p_0$ . Hence, in the case where M is a strictly pseudoconvex real hypersurface, Theorem 1.4 recovers a result from [43]. Note that in the case where M is also a sphere, Corollary 1.5 follows from the rationality result given in [25] and [16]. We should mention that for maps between spheres, hyperquadrics, or boundaries of bounded symmetric domains, global holomorphic extension of local holomorphic maps may follow from more general results known as "rigidity" theorems. The reader is referred to the papers [7, 24, 27, 28, 34, 35, 49] and the survey paper [30] for more on this specific topic.

The main novelty of the present work consists of providing a unified framework that allows us to study, at the same time and despite being very different in nature, the finite jet determination and global extension problems for local holomorphic maps. After collecting some preliminary results and notation in §2, we explain the details of our approach in §3; we prove that germs of CR maps as in Theorem 1.1 can be universally meromorphically parametrized by their jets at a generic point. In fact, one needs a very precise statement indicating the (real-analytic) dependence on the base point where the germ is defined, see Proposition 3.7. Such a result not only allows us to understand the structure of germs of CR maps whose base point changes, but is also crucial in order to choose a jet order k(p) (as in Theorem 1.1) that remains bounded on compact subsets of M. The proofs of Theorems 1.1 and 1.4 and Corollary 1.5 are finalized in §4.

### 2. NOTATION AND PRELIMINARIES

Throughout the paper, all neighborhoods are assumed to be open and connected and we denote, for any power series u(x) with complex coefficients (centered at the origin), by  $\bar{u}(x)$  the power series obtained by taking complex conjugates of the coefficients of u.

Let  $M \subset \mathbb{C}^N$  be real-analytic generic submanifold through the origin, of CR dimension n and codimension d, so that N = n + d. We may assume that  $M = \{Z \in U : \rho(Z, \overline{Z}) = 0\}$  where  $\rho = (\rho_1, \ldots, \rho_d)$  is a real-analytic vector-valued defining function for M defined on some neighborhood  $U \subset \mathbb{C}^N$  of 0 satisfying  $\partial \rho_1 \wedge \ldots \wedge \partial \rho_d \neq 0$  over U. Choosing U so that  $U = \overline{U}$ , we define the complexification of M by

$$\mathcal{M} := \{ (Z, \zeta) \in U \times U : \rho(Z, \zeta) = 0 \}$$

as well as  $\overline{\mathcal{M}} = \{(\zeta, Z) \in U \times U : (Z, \zeta) \in \mathcal{M}\}$ . As in [50], we shall also consider the iterated complexifications  $\mathcal{M}^j$ , for  $j \ge 1$ , as follows. For  $j = 2\ell - 1$  odd, we set

$$\mathcal{M}^{2\ell-1} := \{ (Z, \zeta^1, Z^1, \dots, Z^{\ell-1}, \zeta^\ell) \\ \in U \times \dots \times U : (Z, \zeta^1) \in \mathcal{M}, (\zeta^1, Z^1) \in \overline{\mathcal{M}}, (Z^1, \zeta^2) \in \mathcal{M}, \dots, (Z^{\ell-1}, \zeta^\ell) \in \mathcal{M} \}$$

and for  $j = 2\ell$  even we set

$$\mathcal{M}^{2\ell} := \{ (Z, \zeta^1, \dots, Z^{\ell-1}, \zeta^\ell, Z^\ell) \\ \in U \times \dots \times U : (Z, \zeta^1) \in \mathcal{M}, (\zeta^1, Z^1) \in \overline{\mathcal{M}}, (Z^1, \zeta^2) \in \mathcal{M}, \dots, (\zeta^\ell, Z^\ell) \in \overline{\mathcal{M}} \}.$$

Recall that we can choose normal coordinates  $Z = (z, w) \in \mathbb{C}^n \times \mathbb{C}^d$  for M near 0, so that (the germ of) M (at 0) is given by

(2.1) 
$$w = Q(z, \bar{z}, \bar{w}),$$

where  $Q = (Q^1, \ldots, Q^d)$  is a  $\mathbb{C}^d$ -valued holomorphic map defined in some fixed neighborhood of the origin (see e.g. [4]). Since M is a real submanifold, the map Q satisfies, in addition, the following identity:

(2.2) 
$$Q(z,\bar{z},\bar{Q}(\bar{z},z,w)) = w.$$

Writing  $\zeta = (\chi, \tau) \in \mathbb{C}^n \times \mathbb{C}^d$ , let us define the following tangent vector fields to  $\mathcal{M}$ , obtained from complexification of the (0, 1) vector fields on M:

(2.3) 
$$\mathcal{L}_j := \frac{\partial}{\partial \chi_j} + \sum_{\nu=1}^d \bar{Q}^d_{\chi_j}(\chi, z, w) \frac{\partial}{\partial \tau_\nu}, \quad j = 1, \dots, n.$$

We will make use of the Segre maps associated to M, as introduced in [2,4]. Shrinking U if necessary, for  $p \in U$  (which later will furthermore lie on M), let us recall how are defined the Segre maps of order  $\kappa \in \mathbb{Z}_+$ . Following the notion of [9], we first set  $v^0(p) := p$  and

(2.4) 
$$v^{\kappa+1}(t^0, t^1, \dots, t^{\kappa}; p) = (t^0, Q(t^0, \overline{v^{\kappa}}(t^1, \dots, t^{\kappa}; p)).$$

Note that the Segre maps are defined and holomorphic over  $U_1 \times \ldots \times U_1 \times U$ provided  $U_1$  and U are sufficiently small neighborhoods of the origin in  $\mathbb{C}^n$  and  $\mathbb{C}^N$  respectively. Since we will need only finitely many of those Segre maps, we choose and fix neighborhoods  $U_1$  and U as above so that all these maps  $v_{\kappa}$ 's are well defined and holomorphic on  $U_1^{\kappa+1} \times U$ .

For every integer  $\kappa \geq 1$ , the real-analytic map  $\Xi: U_1^{2\kappa} \times (M \cap U_1) \to \mathcal{M}^{2\kappa+1}$ given by

(2.5) 
$$\Xi(t^0, \dots, t^{2\kappa-1}, p)$$
  
:=  $(v^{2\kappa}(t^0, \dots, t^{2\kappa-1}; p), \overline{v^{2\kappa-1}}(t^1, \dots, t^{2\kappa-1}; p), \dots, \overline{v^1}(t^{2\kappa-1}; p), p, \overline{p})$ 

parametrizes the (germ at the origin of the) submanifold

(2.6) 
$$\mathcal{N}^{\kappa} = \{ (Z, \zeta^1, \dots, \zeta^{\kappa}, p, \bar{p}) : (Z, \zeta^1, \dots, \zeta^{\kappa}, p) \in \mathcal{M}^{2\kappa}, \ p \in M \} \subset \mathcal{M}^{2\kappa+1}.$$

We recall the following finite type/minimality criterion from [5]:

**Theorem 2.1.** Let M be a germ of a real-analytic generic minimal submanifold at the origin. With the above notation, there exists an integer  $s \leq N$  such that the following holds:

(2.7) 
$$\max\left\{ \operatorname{rk} \frac{\partial v^{2s}}{\partial (t^0, t^{s+1}, t^{s+2}, \dots, t^{2s-1})} (0, x^1, \dots, x^{s-1}, x^s, x^{s-1}, \dots, x^1; 0) \\ : x^1, \dots, x^s \in U_1 \right\} = N$$
(2.8) 
$$v^{2s}(0, x^1, \dots, x^{s-1}, x^s, x^{s-1}, \dots, x^1; 0) = 0.$$

We shall also need another result from [5] which can be seen as a version of the implicit function theorem with singularities (see [5, Proposition 4.1.18]).

**Proposition 2.2.** Let u(x, t, y) be a  $\mathbb{C}^k$ -valued holomorphic map defined in a neighborhood of the origin  $\mathbb{C}^{r_1} \times \mathbb{C}^{r_2} \times \mathbb{C}^k$ . Assume that

$$u(x,0,0) = 0, \quad \Delta(x) := \det\left(\frac{\partial u}{\partial y}(x,0,0)\right) \neq 0$$

Then there exists a  $\mathbb{C}^k$ -valued holomorphic map  $\Theta$  defined in a neighborhood of 0 in  $\mathbb{C}^{r_1+r_2+k}$ , vanishing at 0, such that

$$u\left(x,t,\Delta(x)\Theta\left(x,\frac{t}{\Delta(x)^2},\frac{\sigma}{\Delta(x)^2}\right)\right) = \sigma$$

for all  $(x, t, \sigma) \in \mathbb{C}^{r_1+r_2+k}$  such that  $\Delta(x) \neq 0$  and x and  $\left|\frac{t}{\Delta(x)^2}\right| + \left|\frac{\sigma}{\Delta(x)^2}\right|$  sufficiently small.

#### 3. Universal meromorphic parametrization of CR maps

The goal of this section is to prove a very precise universal meromorphic parametrization property for germs of CR maps from generic real-analytic CR submanifolds into spheres. The exact statement is provided by Proposition 3.7. We will divide the proof of such a proposition into two steps. The first step involves the use of reflection type methods combined with ideas from [51] and [39]. It aims at obtaining a universal meromorphic identity for germs of CR maps on the iterated complexication  $\mathcal{M}^2$  (Proposition 3.1). Then, in the second step which is more in the spirit of [5,9], we iterate such an identity on the iterated complexifications  $\mathcal{M}^{\kappa}$ for large enough  $\kappa$ , and use the minimality criterion Theorem 2.1 together with Proposition 2.2 to lift the meromorphic identity from the iterated complexication to the ambient space  $\mathbb{C}^N$ . This step requires careful analysis as our goal will be to reach a (meromorphic) parametrization property for germs of CR maps indicating the dependence on the base point where each germ is defined.

3.1. **Reflection.** We use the notation previously introduced in  $\S2$ . We have the following result.

**Proposition 3.1.** Let  $M \subset \mathbb{C}^N$  be a germ of a generic real-analytic submanifold at the origin. Then, shrinking the neighborhood U if necessary, there exists a  $\mathbb{C}^r$ valued holomorphic map  $A(Z, \zeta^1, Z^1)$ , for some integer  $r \geq 1$ , depending only on M, defined on  $U \times U \times U$ , and two finite collections of (universal) holomorphic polynomial maps  $P_1, \ldots, P_J, D_1, \ldots, D_J$ , such that for every germ of a holomorphic map  $f: (\mathbb{C}^N, 0) \to \mathbb{C}^{N'}$  with  $f(M) \subset \mathbb{S}^{2N'-1}$ , there exists  $1 \leq j_0 \leq J$ , such that

(3.1) 
$$f(Z) = \frac{P_{j_0}(A(Z,\zeta^1,Z^1),(\partial^{\mu}f(\zeta^1),\partial^{\mu}f(Z^1))_{|\mu| \le N'})}{D_{j_0}(A(Z,\zeta^1,Z^1),(\partial^{\mu}\bar{f}(\zeta^1),\partial^{\mu}f(Z^1))_{|\mu| \le N'})}$$

and  $D_{j_0}(A(Z,\zeta^1,Z^1),(\partial^{\mu}\bar{f}(\zeta^1),\partial^{\mu}f(Z^1))|_{|\mu|\leq N'})\neq 0$  for all  $(Z,\zeta^1,Z^1)\in\mathcal{M}^2$  sufficiently close to the origin.

*Proof.* We start with the basic equation

(3.2) 
$$\sum_{i=1}^{N'} |f_i|^2 = 1$$

that holds on M sufficiently close to the origin. We complexify it to obtain on  $\mathcal{M}$ :

(3.3) 
$$\sum_{i=1}^{N'} f_i(Z) \bar{f}_i(\zeta) = 1$$

Applying combinations of vector fields from (2.3),  $\mathcal{L}^{\alpha} = \mathcal{L}_{1}^{\alpha_{1}} \dots \mathcal{L}_{n}^{\alpha_{n}}$  with  $\alpha = (\alpha_{1}, \dots, \alpha_{n})$  and  $|\alpha| \leq N'$  to (3.3), we obtain that for  $(Z, \zeta) \in \mathcal{M}$  sufficiently close to the origin:

(3.4) 
$$\sum_{i=1}^{N'} f_i(Z) \mathcal{L}^{\alpha} \bar{f}_i(\zeta) = 0.$$

For every  $\alpha$  as above, we view  $\mathcal{L}^{\alpha}\bar{f}(\zeta)$  as a vector in  $\mathbb{C}^{N'}$  (depending on  $(Z,\zeta) \in \mathcal{M}$ ). For  $0 \leq r \leq N'$ , denote by  $e_r \leq N'$  the generic rank (over a sufficiently small neighborhood of 0 in  $\mathcal{M}$ ) of the collection of vectors  $\mathcal{L}^{\alpha}\bar{f}(\zeta)$  for  $|\alpha| \leq r$ . We clearly have that the sequence  $e_r$ ,  $0 \leq r \leq N'$  strictly increases until it stabilizes (see e.g. [37]). Let  $r_0 \in \{1, \ldots, N'\}$  be defined by  $e_{r_0-1} < e_{r_0} = e_{r_0+1}$  and set  $k_0 := e_{r_0}$ . Even though  $k_0$  depends on the map f, note that we have only finitely many choices for such an integer.

In what follows, we assume that the so-called generic degeneracy  $m := N' - k_0 > 0$  (see [39]), the simpler case  $k_0 = N'$  will be discussed at the end of the proof. In order to add some further equations to the system (3.4), we shall use arguments from [10, 39].

It follows from [10] or [39, Proposition 4.4] that there exists meromorphic maps  $V^j: U \to \mathbb{C}^{N'}, V^j = (V_1^j, \dots, V_{N'}^j), j = 1, \dots, m$ , satisfying

(3.5) 
$$\sum_{i=1}^{N'} V_i^j(Z) \bar{f}_i(\zeta) = 0$$

for  $(Z,\zeta) \in \mathcal{M} \cap (U \times U)$ , and such that the matrix  $(V^1, \ldots, V^m)$  is of generic rank m. In fact, more can be said about how those maps  $V^j$ 's may be constructed. We explain this following the lines of [39, Proposition 4.4].

We choose  $k_0$  multi-indices  $\alpha^{(1)}, \ldots, \alpha^{(k_0)}$  of length  $\leq k_0$ , with  $\alpha^{(1)} = 0$ , such that the generic rank of the matrix  $\left(\mathcal{L}^{\alpha^{(\ell)}}\bar{f}_j(\zeta)\right)_{\substack{1 \leq j \leq N'\\ 1 \leq \ell \leq k_0}}$  equals  $k_0$ . Picking a generically invertible minor of size  $k_0$  in this matrix, say the first minor on the top left of the matrix, we may write the desired map  $V^j = (V_1^j, \ldots, V_{N'}^j)$  in the form,

$$V_i^j(Z) = \frac{P_{ij}\left(\left(\mathcal{L}^{\alpha^{(\ell)}}\bar{f}(\zeta)\right)_{1 \le \ell \le k_0}\right)}{\det\left(\left(\mathcal{L}^{\alpha^{(\ell)}}\bar{f}_j(\zeta)\right)_{1 \le j \le k_0}\right)}, \quad (Z,\zeta) \in \mathcal{M},$$

for some universal polynomials  $P_{ij}$ . Furthermore, as in [39, Proposition 4.4], we have

(3.6) 
$$V_i^j(Z) = \delta_{i,k_0+j}, \quad i \ge k_0 + 1, \ 1 \le j \le m,$$

where  $\delta_{i,k_0+j}$  denotes the usual Kronecker symbol. In particular, the generic rank of the matrix  $(V^1, \ldots, V^m)$  is equal to m. Since there are finitely many choices for the above mentioned minors, as well as for the multi-indices  $\alpha^{\ell}$ 's, we therefore come to the conclusion that we may write for each  $j = 1, \ldots, m$ ,

(3.7) 
$$V^{j}(Z) = \frac{P_{j}\left(\left(\mathcal{L}^{\alpha}\bar{f}(\zeta)\right)_{|\alpha|\leq N'}\right)}{D\left(\left(\mathcal{L}^{\alpha}\bar{f}(\zeta)\right)_{|\alpha|\leq N'}\right)}, \quad (Z,\zeta) \in \mathcal{M},$$

where  $P_j$  and D belong to a finite family of universal polynomial maps (with real coefficients) and  $D\left(\left(\mathcal{L}^{\alpha}\bar{f}(\zeta)\right)_{|\alpha|\leq N'}\right) \neq 0$  for  $(Z,\zeta) \in \mathcal{M}$ . Now we note that for  $j=1,\ldots,m,$  (3.7) may be rewritten as follows

(3.8) 
$$\overline{V}^{j}(\zeta) = \frac{P_{j}\left(\left(\mathcal{T}^{\alpha}f(Z^{1})\right)_{|\alpha|\leq N'}\right)}{D\left(\left(\mathcal{T}^{\alpha}f(Z^{1})\right)_{|\alpha|\leq N'}\right)}, \quad (\zeta, Z^{1}) \in \overline{\mathcal{M}}$$

where we write  $Z^1 = (z^1, w^1) \in \mathbb{C}^n \times \mathbb{C}^d$  and

(3.9) 
$$\mathcal{T}_r := \frac{\partial}{\partial z_r^1} + \sum_{\nu=1}^d Q_{z_r}^d(z^1, \chi, \tau) \frac{\partial}{\partial w_\nu^1}, \quad r = 1, \dots, n.$$

Conjugating (3.5) and adding it to the system of (3.3) and (3.4), we obtain the following system of equations on  $\mathcal{M}$  (with meromorphic coefficients):

(3.10) 
$$\begin{cases} \sum_{i=1}^{N'} f_i(Z) \mathcal{L}^{\alpha^{(1)}} \bar{f}_i(\zeta) &= 1, \\ \sum_{i=1}^{N'} f_i(Z) \mathcal{L}^{\alpha^{(\ell)}} \bar{f}_i(\zeta) &= 0, \ 2 \le \ell \le k_0, \\ \sum_{i=1}^{N'} \overline{V}_i^j(\zeta) f_i(Z) &= 0, \ j = 1, \dots, m \end{cases}$$

We now claim the following:

Claim 1. The matrix  $\mathcal{B}(Z,\zeta)$  formed with the column vectors

$$\mathcal{L}^{\alpha^{(1)}}\bar{f}(\zeta),\ldots,\mathcal{L}^{\alpha^{(k_0)}}\bar{f}(\zeta),\overline{V}^1(\zeta),\ldots,\overline{V}^m(\zeta)$$

has generic rank N' (over  $\mathcal{M}$ ).

Let us prove the claim following the arguments of [39, Theorem 5.2]. Using (3.5) and (3.6), we have for  $(Z, \zeta) \in \mathcal{M}$  near the origin

(3.11) 
$$\bar{f}_{k_0+j}(\zeta) = -\sum_{i=1}^{k_0} V_i^j(Z) \bar{f}_i(\zeta), \quad 1 \le j \le m,$$

and hence

(3.12) 
$$\mathcal{L}^{\alpha^{(\ell)}} \bar{f}_{k_0+j}(\zeta) = -\sum_{i=1}^{k_0} V_i^j(Z) \mathcal{L}^{\alpha^{(\ell)}} \bar{f}_i(\zeta), \quad 1 \le j \le m, \quad 1 \le \ell \le k_0.$$

For every  $1 \leq \nu \leq N'$ , denote by  $R_{\nu}$  the  $\nu$ -th row of the matrix  $\mathcal{B}$ . Substituting, for every such  $k_0 + 1 \leq \nu \leq N'$ ,  $R_{\nu}$  by  $R_{\nu} + \sum_{i=1}^{k_0} V_i^{\nu-k_0}(Z)R_i$ , we obtain, in view of (3.12) a matrix of the form

$$\begin{bmatrix} \mathcal{L}^{\alpha^{(1)}}\bar{f}_{1}(\zeta) & \dots & \mathcal{L}^{\alpha^{(k_{0})}}\bar{f}_{1}(\zeta) & & \overline{V}_{1}^{1}(\zeta) & \dots & \overline{V}_{1}^{m}(\zeta) \\ \vdots & & \vdots & & \vdots & \\ \mathcal{L}^{\alpha^{(1)}}\bar{f}_{k_{0}}(\zeta) & \dots & \mathcal{L}^{\alpha^{(k_{0})}}\bar{f}_{k_{0}}(\zeta) & & \overline{V}_{k_{0}}^{1}(\zeta) & \dots & \overline{V}_{k_{0}}^{m}(\zeta) \\ & & 0 & & C(Z,\zeta) \end{bmatrix},$$

where  $C(Z,\zeta)$  is the  $m \times m$  matrix given by  $\left(V^k(Z) \cdot \overline{V}^{\nu}(\zeta)\right)_{k,\nu}$  and  $V^k(Z) \cdot \overline{V}^{\nu}(\zeta) = \sum_{i=1}^{N'} V^k(Z) \overline{V}^{\nu}(\zeta)$ . Hence  $C(Z,\zeta)$  is simply the complexification of the Gram matrix  $\left(V^k \cdot \overline{V}^{\nu}\Big|_M\right)_{k,\nu}$ , which is generically invertible since the vectors  $V^k\Big|_M$ ,  $k = 1, \ldots, m$ , are generically linearly independent (near 0). The proof of the claim is complete.

Thanks to the claim, we may now finish the proof of the proposition and solve the linear system of equations (3.10) (in the f's) using Cramer's rule and obtain that for  $(Z,\zeta) \in \mathcal{M}$ 

(3.13) 
$$f(Z) = \frac{\widetilde{P}\left(\left(\mathcal{L}^{\alpha}\bar{f}(\zeta)\right)_{|\alpha| \le N'}, \overline{V}(\zeta)\right)}{\widetilde{D}\left(\left(\mathcal{L}^{\alpha}\bar{f}(\zeta)\right)_{|\alpha| \le N'}, \overline{V}(\zeta)\right)}$$

where  $\widetilde{P}$  and  $\widetilde{D}$  are, respectively, universal polynomial  $\mathbb{C}^{N'}$ -valued and  $\mathbb{C}$ -valued maps, depending on the map f, but belonging to a *finite* collection of universal polynomial maps. Now substituting (3.8) into (3.13) yields that we may write

(3.14) 
$$f(Z) = \frac{\widehat{P}\left(\left(\mathcal{L}^{\alpha}\bar{f}(\zeta)\right)_{|\alpha|\leq N'}, \left(\mathcal{T}^{\alpha}f(Z^{1})\right)_{|\alpha|\leq N'}\right)}{\widehat{D}\left(\left(\mathcal{L}^{\alpha}\bar{f}(\zeta)\right)_{|\alpha|\leq N'}, \left(\mathcal{T}^{\alpha}f(Z^{1})\right)_{|\alpha|\leq N'}\right)}$$

for  $(Z^1, \zeta, Z) \in \mathcal{M}^2$  sufficiently close to the origin and where  $\widehat{P}$  and  $\widehat{D}$  belonging to some finite collection of universal polynomial maps. Using the form of the vector fields  $\mathcal{L}_j$ 's and  $\mathcal{T}_r$ 's given by (2.3) and (3.9), we get the desired statement of the proposition.

To complete the proof of the proposition, we must tackle the case where  $k_0 = N'$ . In that case, we can directly apply Cramer's rule to the system of equations given by (3.3) and (3.4) and reach a similar conclusion as the one obtained when  $k_0 < N'$ . We leave the details to the reader. The proof of the proposition is complete.

Remark 3.2.

- (a) We note that the map A in the right hand side of (3.1) is defined in the fixed neighborhood  $U \times U \times U$  of 0 where U is given as in §2.
- (b) In Proposition 3.1 as well as in further propositions below, we obtain the existence of universal polynomial maps satisfying certain properties. Universality means that the polynomial maps are independent of the given manifold M (and of any chosen point and neighborhood there) as well as independent of all the germs of CR maps under consideration.

3.2. Iteration. Our next goal is to get a similar identity as the one in (3.1), but on the iterated complexification of any order instead.

Differentiating (3.1) and using the chain rule, one easily gets the following statement:

**Proposition 3.3.** Let M and U be as above, A and  $P_j, D_j, 1 \leq j \leq J$  be given by Proposition 3.1. Then for every multi-index  $\gamma \in \mathbb{N}^N$ , there exists a holomorphic map  $A^{(\gamma)}(Z, \zeta^1, Z^1)$ , depending only on M, defined on  $U \times U \times U$ , and for every  $1 \leq j \leq J$ , a (universal) holomorphic polynomial map  $P_j^{(\gamma)}$ , depending only on  $P_j$ ,  $D_j$  and  $\gamma$ , such that for every germ of a holomorphic map  $f: (\mathbb{C}^N, 0) \to \mathbb{C}^{N'}$  with  $f(M) \subset \mathbb{S}^{2N'-1}$ , there exists  $1 \leq j_0 \leq J$ , such that for every  $\gamma \in \mathbb{N}^N$ ,

(3.15) 
$$(\partial^{\gamma} f)(Z) = \frac{P_{j_0}^{(\gamma)} \left( A^{(\gamma)}(Z, \zeta^1, Z^1), (\partial^{\mu} \bar{f}(\zeta^1), \partial^{\mu} f(Z^1))_{|\mu| \le N' + |\gamma|} \right)}{\left( D_{j_0} \left( A(Z, \zeta^1, Z^1), (\partial^{\mu} \bar{f}(\zeta^1), \partial^{\mu} f(Z^1))_{|\mu| \le N'} \right) \right)^{2|\gamma|}},$$

for all  $(Z, \zeta^1, Z^1) \in \mathcal{M}^2$  sufficiently close to the origin.

Now iterating Proposition 3.3 along the iterated complexification yields the following statement.

**Proposition 3.4.** Let M and U be as above and let  $P_j, D_j, 1 \leq j \leq J$ , be given by Proposition 3.1. Fix an integer  $\ell \geq 1$ . Then there exists a holomorphic map  $A_{\ell}(Z, \zeta^1, Z^1, \ldots, Z^{\ell}, \zeta^{\ell+1})$ , depending only on M, defined on  $U^{2\ell+2}$ , and for every  $0 \leq j \leq J$ , (universal) holomorphic polynomial maps  $P_{j,\ell}$  and  $D_{j,\ell}$ , depending only on  $P_j, D_j$  and  $\ell$ , such that for every germ of a holomorphic map  $f: (\mathbb{C}^N, 0) \to \mathbb{C}^{N'}$ with  $f(M) \subset \mathbb{S}^{2N'-1}$ , there exists  $1 \leq j_0 \leq J$ ,

$$(3.16) \quad f(Z) = \frac{P_{j_0,\ell}\left(A_{\ell}(Z,\zeta^1,Z^1,\ldots,Z^{\ell},\zeta^{\ell+1}), (\partial^{\mu}\bar{f}(\zeta^{\ell+1}),\partial^{\mu}f(Z^{\ell}))_{|\mu| \le 2\ell N'}\right)}{D_{j_0,\ell}\left(A_{\ell}(Z,\zeta^1,Z^1,\ldots,Z^{\ell},\zeta^{\ell+1}), (\partial^{\mu}\bar{f}(\zeta^{\ell+1}),\partial^{\mu}f(Z^{\ell}))_{|\mu| \le 2\ell N'}\right)},$$

for all  $(Z, \zeta^1, Z^1, \ldots, Z^{\ell}, \zeta^{\ell+1}) \in \mathcal{M}^{2\ell+1}$  sufficiently close to the origin, and the denominator in (3.16) does not vanish identically on  $\mathcal{M}^{2\ell+1}$ . In particular, we have the following representation:

$$(3.17) \quad f(Z) = \frac{P_{j_0,\ell}\left(A_{\ell}(Z,\zeta^1,Z^1,\ldots,Z^{\ell-1},\zeta^{\ell},p,\bar{p}), (\partial^{\mu}\bar{f}(\bar{p}),\partial^{\mu}f(p))_{|\mu| \le 2\ell N'}\right)}{D_{j_0,\ell}\left(A_{\ell}(Z,\zeta^1,Z^1,\ldots,Z^{\ell-1},\zeta^{\ell},p,\bar{p}), (\partial^{\mu}\bar{f}(\bar{p}),\partial^{\mu}f(p))_{|\mu| \le 2\ell N'}\right)}$$

for all  $(Z, \zeta^1, Z^1, \ldots, Z^{\ell-1}, \zeta^{\ell}, p) \in \mathcal{N}^{\ell}$  (given by (2.6)) sufficiently close to the origin, and the denominator in (3.17) does not vanish identically (on  $\mathcal{N}^{\ell}$ ).

*Proof.* The proof consists of a systematic use of Proposition 3.3.

Let f be as in the proposition. Applying Proposition 3.1, we have for some  $1 \leq j_0 \leq J$ ,

(3.18) 
$$f(Z) = \frac{P_{j_0}(A(Z,\zeta^1,Z^1),(\partial^{\mu}\bar{f}(\zeta^1),\partial^{\mu}f(Z^1))_{|\mu| \le N'})}{D_{j_0}(A(Z,\zeta^1,Z^1),(\partial^{\mu}\bar{f}(\zeta^1),\partial^{\mu}f(Z^1))_{|\mu| \le N'})}$$

with a non-vanishing denominator on  $\mathcal{M}^2$ . Now applying Proposition 3.3 and taking the complex conjugate of (3.15), we have for every multi-index  $\mu \in \mathbb{N}^N$ , and for every  $(Z^1, \zeta^2) \in \mathcal{M}$  and  $(\zeta^1, Z^1) \in \overline{\mathcal{M}}$  sufficiently close to the origin,

(3.19) 
$$(\partial^{\mu}\bar{f})(\zeta^{1}) = \frac{P_{j_{0}}^{(\gamma)}\left(\overline{A^{(\gamma)}}(\zeta^{1}, Z^{1}, \zeta^{2}), (\partial^{\nu}f(Z^{1}), \partial^{\nu}\bar{f}(\zeta^{2}))_{|\nu| \leq N'+|\mu|}\right)}{\left(\overline{D_{j_{0}}}\left(\bar{A}(\zeta^{1}, Z^{1}, \zeta^{2}), (\partial^{\nu}f(Z^{1}), \partial^{\nu}\bar{f}(\zeta^{2}))_{|\nu| \leq N'}\right)\right)^{2|\mu|}}.$$

Substituting (3.19) into (3.18) immediately gives the (3.16) for  $\ell = 1$ . The general case of (3.16) for arbitrary  $\ell$  follows from the same type of arguments.

The last statement of the proposition follows from the fact that the submanifold  $\mathcal{N}^{\ell}$  is a uniqueness set for holomorphic functions on  $\mathcal{M}^{2\ell+1}$ . The proof of the proposition is complete now.

Using the iterated Segre maps as recalled in §2, we now reach the following.

**Proposition 3.5.** Let  $M \subset \mathbb{C}^N$  be a generic real-analytic minimal submanifold through the origin and let  $s \in \mathbb{Z}_+$  be as Theorem 2.1. Then there exists a holomorphic map  $\Phi(t^0, \ldots, t^{2s-1}, \lambda, \omega)$ , depending only on M, defined on some fixed neighborhood of  $0 \in \mathbb{C}^{2sn} \times \mathbb{C}^N_{\lambda} \times \mathbb{C}^N_{\omega}$ , and two finite collections of (universal) holomorphic polynomial maps  $\widetilde{P}_1, \ldots, \widetilde{P}_J, \widetilde{D}_1, \ldots, \widetilde{D}_J$ , such that for every germ of a holomorphic map  $f : (\mathbb{C}^N, 0) \to \mathbb{C}^{N'}$  with  $f(M) \subset \mathbb{S}^{2N'-1}$ , there exists  $j_0 \in \{1, \ldots, J\}$  such that (3.20)

$$(f \circ v^{2s})(t^0, \dots, t^{2s-1}; p) = \frac{\widetilde{P}_{j_0}\left(\Phi(t^0, \dots, t^{2s-1}, p, \bar{p}), (\partial^{\mu}\bar{f}(\bar{p}), \partial^{\mu}f(p))_{|\mu| \le 2sN'}\right)}{\widetilde{D}_{j_0}\left(\Phi(t^0, \dots, t^{2s-1}, p, \bar{p}), (\partial^{\mu}\bar{f}(\bar{p}), \partial^{\mu}f(p))_{|\mu| \le 2sN'}\right)}$$

for all  $(t^0, \ldots, t^{2s-1}) \in \mathbb{C}^{2sn}$  and  $p \in M$  sufficiently close to 0, with the denominator in (3.20) not vanishing identically.

*Proof.* Setting  $\Phi(t^0, \ldots, t^{2s-1}, p, \bar{p}) = (A_s \circ \Xi)(t^0, \ldots, t^{2s-1}, p)$  with  $A_s$  and  $\Xi$  given respectively by Proposition 3.4 and (2.5), we see that the result follows from Proposition 3.4.

3.3. Lifting. The next step consists of the lifting procedure. In order to carry it out, we need a more precise version of Proposition 3.5 since we will be considering germs of CR maps at points  $q \in M$  sufficiently close to the origin. To this end, we'll be more precise in the choice of our neighborhoods. Let  $s \in \mathbb{Z}_+$  be the integer given as before. We assume that M is given by (2.1) for  $|Z| < C_0$  for some fixed  $C_0 > 0$ . We also assume that the iterated Segre map  $v^{2s}(t^0, \ldots, t^{2s-1}; p)$  is defined for  $|t^j| < C_1, |p| < C_1, j = 0, \ldots, 2s - 1$ , with  $0 < C_1 \leq C_0$ . Now inspecting the proofs in §3.1 and §3.2, we have the following:

**Proposition 3.6.** Let  $M \subset \mathbb{C}^N$  be a generic real-analytic minimal submanifold through the origin. Then for  $C_1 > 0$  sufficiently small, there exists a holomorphic map  $\Phi(t^0, \ldots, t^{2s-1}, \lambda, \omega)$ , depending only on M, defined for  $t^j \in \mathbb{C}^n$ ,  $\lambda \in \mathbb{C}^N$ ,  $\omega \in \mathbb{C}^N$ ,  $|t^j| < C_1$ ,  $|\lambda| < C_1$ ,  $|\omega| < C_1$ ,  $j = 0, \ldots, 2s - 1$ , and two finite collections

of (universal) holomorphic polynomial maps  $\widetilde{P}_1, \ldots, \widetilde{P}_J, \ \widetilde{D}_1, \ldots, \widetilde{D}_J$ , such that if  $q = (z_q, w_q) \in M$  with  $|q| < C_1$  and  $f: (\mathbb{C}^N, q) \to \mathbb{C}^{N'}$  is a germ of a holomorphic map with  $f(M) \subset \mathbb{S}^{2N'-1}$ , there exists  $j_0 \in \{1, \ldots, J\}$  such that (3.21)

$$(f \circ v^{2s})(t^0, \dots, t^{2s-1}; p) = \frac{\widetilde{P}_{j_0}\left(\Phi(t^0, \dots, t^{2s-1}, p, \bar{p}), (\partial^{\mu} \bar{f}(\bar{p}), \partial^{\mu} f(p))_{|\mu| \le 2sN'}\right)}{\widetilde{D}_{j_0}\left(\Phi(t^0, \dots, t^{2s-1}, p, \bar{p}), (\partial^{\mu} \bar{f}(\bar{p}), \partial^{\mu} f(p))_{|\mu| \le 2sN'}\right)},$$

for all  $(t^0, \ldots, t^{2s-1}) \in \mathbb{C}^{2sn}$  and  $p \in M$  sufficiently close to  $(z_q, \overline{z}_q, \ldots, z_q, \overline{z}_q)$  and q respectively, with the denominator in (3.21) not vanishing identically.

We now want to lift (3.21) to get a universal meromorphic parametrization property for CR maps as given by Proposition 3.7. The proof consists of a careful application of Proposition 2.2 following in spirit some steps from [5,9].

In what follows, we assume that s is odd, the even case, being very similar, is left to the reader.

We introduce the following variables

$$\begin{aligned} x &= (x^1, \dots, x^s) \in \mathbb{C}^{ns}, \quad u = (u^0, \dots, u^{s-1}) \in \mathbb{C}^{sn}, \\ (\eta, \sigma) \in \mathbb{C}^n \times \mathbb{C}^d, \quad \theta \in \mathbb{C}^n, \quad \omega \in \mathbb{C}^N, \end{aligned}$$

and define holomorphic maps  $L: (\mathbb{C}^{2(s+1)n}, 0) \to (\mathbb{C}^{2sn}, 0)$  and  $\vartheta: (\mathbb{C}^{2(s+1)n+N+d}, 0) \to (\mathbb{C}^N, 0)$  as follows:

$$L(x, u, \eta, \theta) \coloneqq (u^0 + \eta, x^1 + \theta, x^2 + \eta, \dots, x^s + \theta, u^{s-1} + x^{s-1} + \eta, \dots, u^1 + x^1 + \theta),$$
  
$$\vartheta(x, u, \eta, \sigma, \theta, \omega) = v^{2s} \left( L(x, u, \eta, \theta); \omega + (\eta, \sigma) \right) - (\eta, \sigma).$$

We choose  $0 < C_2 < C_1$  such that  $\vartheta$  is a holomorphic map for

$$(3.22) |x| < C_2, |u| < C_2, |\eta| < C_2, |\sigma| < C_2, |\omega| < C_2, |(\eta, \sigma)| < C_2, |\theta| < C_2.$$

We also define

$$\Psi(x, u, \eta, \sigma, \theta, \omega) := \Phi\left(L(x, u, \eta, \theta); \omega + (\eta, \sigma), \overline{\omega + (\eta, \sigma)}\right),$$

where  $\Phi$  is given by Proposition 3.6. Choosing  $C_2 > 0$  sufficiently small, we may assume that  $\Psi$  is real-analytic on the open set given by (3.22) and holomorphic with respect to  $x, u, \theta$ .

In view of (2.8), we have

(3.23) 
$$\vartheta(x, 0, 0, 0, 0) = 0,$$

and in view of (2.7), we also have

(3.24) 
$$\max\left\{ \operatorname{rk} \frac{\partial \vartheta}{\partial u}(x, 0, 0, 0, 0) : |x| < C_2 \right\} = N.$$

We write  $u = (\xi, y) \in \mathbb{C}^{sn-N} \times \mathbb{C}^N$  such that

(3.25) 
$$\Delta(x) = \det\left(\frac{\partial\vartheta}{\partial y}(x,0,0,0,0)\right) \neq 0.$$

By Proposition 2.2, there exists a  $\mathbb{C}^N$ -valued holomorphic map  $\Upsilon(x,\xi,\eta,\sigma,\theta,\omega)$  defined for

(3.26) 
$$|x|, |\xi|, |\eta|, |\sigma|, |\theta|, |\omega| < C_3,$$

for some  $0 < C_3 < C_2$ , such that

$$(3.27) \quad \vartheta\left(x,\xi,\Delta(x)\,\Upsilon\left(x,\frac{\xi}{\Delta(x)^2},\frac{(\eta,\sigma)}{\Delta(x)^2}\frac{\theta}{\Delta(x)^2},\frac{\omega}{\Delta(x)^2},\frac{Z^0}{\Delta(x)^2}\right),\eta,\sigma,\theta,\omega\right) = Z^0$$

for all  $(x, \xi, \eta, \sigma, \theta, \omega, Z^0)$  belonging to the open subset  $\mathcal{W}$  where (3.26) holds,  $\Delta(x) \neq 0$  and

(3.28) 
$$\left|\frac{\xi}{\Delta(x)^2}\right|, \left|\frac{(\eta, \sigma)}{\Delta(x)^2}\right|, \left|\frac{\theta}{\Delta(x)^2}\right|, \left|\frac{\omega}{\Delta(x)^2}\right|, \left|\frac{Z^0}{\Delta(x)^2}\right| < C_4$$

for some constant  $0 < C_4 < C_3$  (chosen in such a way that the term on the left of (3.27) is holomorphic on  $\mathcal{W}$ ). Reducing  $C_3$  and  $C_4$  further if necessary, we may assume that the map

$$T(x,\xi,\eta,\sigma,\theta,\omega,Z^{0})$$
  
:=  $\Psi\left(x,\xi,\Delta(x)\Upsilon\left(x,\frac{\xi}{\Delta(x)^{2}},\frac{(\eta,\sigma)}{\Delta(x)^{2}}\frac{\theta}{\Delta(x)^{2}},\frac{\omega}{\Delta(x)^{2}},\frac{Z^{0}}{\Delta(x)^{2}}\right),\eta,\sigma,\theta,\omega\right)$ 

is real-analytic on  $\mathcal{W}$  and holomorphic with respect to  $(x, \xi, \theta, Z^0)$ .

Pick an arbitrary relatively compact open subset S of  $\{x \in \mathbb{C}^{sn} : |x| < C_3, \Delta(x) \neq 0\}$  and set  $\delta := \inf\{\Delta(x) : x \in S\} > 0$ . Reducing  $C_4$  if necessary, we may assume that  $\delta^2 C_4 < C_3$ .

that  $\delta^2 C_4 < C_3$ . Let  $\Omega := \left\{ Z \in \mathbb{C}^N : |Z| < \frac{\delta^2 C_4}{2} \right\}$  and let  $q = (z_q, w_q) \in \Omega \cap M$  be arbitrary. Using what we have done before with  $(\eta, \sigma) = (z_q, w_q) = q$  and  $\theta = \bar{\eta} = \bar{z}_q$ , we see that

(3.30) 
$$\vartheta\left(x,\xi,\Delta(x)\Upsilon\left(x,\frac{\xi}{\Delta(x)^2},\frac{q}{\Delta(x)^2}\frac{\bar{z}_q}{\Delta(x)^2},\frac{\omega}{\Delta(x)^2},\frac{Z^0}{\Delta(x)^2}\right),q,\bar{z}_q,\omega\right) = Z^0$$

for all  $x \in S$ ,  $|\xi| < \delta^2 C_4$ ,  $|\omega| < \delta^2 C_4$ , and  $|Z^0| < \delta^2 C_4$ . Using (2.2), (2.4) and the fact that  $q \in M$ , we further notice that

$$\vartheta(0,0,q,\bar{z}_q,0) = v^{2s} \left( L(0,0,z_q,\bar{z}_q);q \right) - q = v^{2s} (z_q,\bar{z}_q,\dots,\bar{z}_q;q) - q = 0.$$

Consider now a germ of a holomorphic map  $f: (\mathbb{C}^N, q) \to \mathbb{C}^{N'}$ , sending (M, q) into  $\mathbb{S}^{2N'-1}$ . Using Proposition 3.6 and its notation, writing  $P = \widetilde{P}_{j_0}$ ,  $D = \widetilde{D}_{j_0}$  and using (3.21), we have (3.31)

$$f(q+\vartheta(x,u,q,\bar{z}_q,\omega)) = \frac{P\left(\Psi(x,u,q,\bar{z}_q,\omega), (\partial^{\mu}\bar{f}(\overline{\omega+q}),\partial^{\mu}f(\omega+q))_{|\mu|\leq 2sN'}\right)}{D\left(\Psi(x,u,q,\bar{z}_q,\omega), (\partial^{\mu}\bar{f}(\overline{\omega+q}),\partial^{\mu}f(\omega+q))_{|\mu|\leq 2sN'}\right)}$$

for all  $(x, u) \in \mathbb{C}^{2ns}$  in a sufficiently small neighborhood of 0 (depending on q) and for all  $\omega$  in a sufficiently small neighborhood, denoted  $M^{(q)}$ , of the origin in  $\mathbb{C}^N \cap \{\omega : \omega + q \in M\}$ . Furthermore the denominator in (3.31) does not vanish identically for all the above  $(x, u, \omega)$ 's since the linear map  $(x, u) \mapsto L(x, u, z_q, \bar{z}_q)$ is invertible.

Next we observe that the right hand side of (3.31) is well-defined, as a ratio, for  $|x|, |u| < C_3$  and for  $\omega \in M^{(q)}$ . We now claim that the left-hand side is also defined

and holomorphic for  $|x| < C_3$  and for |u| sufficiently small (depending on q) and for  $\omega \in M^{(q)}$ . This claim follows from the fact that for every x with  $|x| < C_3$ ,

$$\vartheta(x,0,q,\bar{z}_q,0) = v^{2s}(L(x,0,z_q,\bar{z}_q);q) - q$$

$$(3.32) = v^{2s}(z_q,x^1 + \bar{z}_q,\dots,x^s + \bar{z}_q,x^{s-1} + z_q,\dots,x^1 + \bar{z}_q;q) - q$$

$$= q - q = 0,$$

which itself follows from (2.2) and the fact that  $q \in M$ . All this implies that the equality (3.31) holds for all  $|x| < C_3$  (which is independent of the mapping f and q) and for all  $u, \omega$  sufficiently small (depending on f and q). Now we may use (3.30) to get the following identity

$$(3.33) \quad f(q+Z^0) = \frac{P\left(T(x,\xi,q,\bar{z}_q,\omega,Z^0), (\partial^{\mu}f(\overline{\omega+q}),\partial^{\mu}f(\omega+q))_{|\mu| \le 2sN'}\right)}{D\left(T(x,\xi,q,\bar{z}_q,\omega,Z^0), (\partial^{\mu}\bar{f}(\overline{\omega+q}),\partial^{\mu}f(\omega+q))_{|\mu| \le 2sN'}\right)}$$

for all  $x \in S$  and  $\xi, Z^0, \omega$  sufficiently small (depending on q) and  $\omega \in M^{(q)}$ . Furthermore, the reader may easily check that the mapping

$$Z^{0} \mapsto \Delta(x) \Upsilon\left(x, \frac{\xi}{\Delta(x)^{2}}, \frac{q}{\Delta(x)^{2}} \frac{\bar{z}_{q}}{\Delta(x)^{2}}, \frac{\omega}{\Delta(x)^{2}}, \frac{Z^{0}}{\Delta(x)^{2}}\right)$$

is of full rank N for  $(x, \xi, Z^0, \omega)$ 's as above. This implies that the denominator in (3.33) does not vanish identically (for all above  $(x, \xi, Z^0, \omega)'s$ ). We may rewrite (3.33) as follows:

$$(3.34) f(Z) = \frac{P\left(T(x,\xi,q,\bar{z}_q,\omega,Z-q),(\partial^{\mu}\bar{f}(\overline{\omega+q}),\partial^{\mu}f(\omega+q))_{|\mu|\leq 2sN'}\right)}{D\left(T(x,\xi,q,\bar{z}_q,\omega,Z-q),(\partial^{\mu}\bar{f}(\overline{\omega+q}),\partial^{\mu}f(\omega+q))_{|\mu|\leq 2sN'}\right)}$$

for all Z close to q and  $x, \xi, \omega$  as above.

Set  $t = (x,\xi)$  and  $H(t,p,q,Z) := T(x,\xi,q,\bar{z}_q,p-q,Z-q)$ . Then it follows that, H is a real-analytic map for  $x \in S$ ,  $|\xi| < \delta^2 C_4$ ,  $|p| < \frac{\delta^2 C_4}{2}$ ,  $|q| < \frac{\delta^2 C_4}{2}$  and  $|Z| < \frac{\delta^2 C_4}{2}$ , and holomorphic in (t,Z). We thus have proved the following:

**Proposition 3.7.** Let  $M \subset \mathbb{C}^N$  be a generic real-analytic minimal submanifold through the origin. Then there exist a real-analytic map H(t, p, q, Z) defined on some open polydisc  $V \times W^3 \subset \mathbb{C}^r \times \mathbb{C}^{3N}$  for some integer  $r \geq 1$ , holomorphic with respect to (t, Z), with  $0 \in W$ , a finite collection of (universal) holomorphic  $\mathbb{C}^{N'}$ valued polynomial maps  $P_1, \ldots, P_J$ , and a finite collection of (universal) holomorphic polynomials  $D_1, \ldots, D_J$ , such that for every  $q \in M \cap W$ , and every germ of a holomorphic map  $f: (\mathbb{C}^N, q) \to \mathbb{C}^{N'}$  with  $f(M) \subset \mathbb{S}^{2N'-1}$ , there exists  $1 \leq j_0 \leq J$ , such that for every  $p \in M$  and  $Z \in \mathbb{C}^N$  sufficiently close to q, and every  $t \in V$ ,

(3.35) 
$$f(Z) = \frac{P_{j_0}\left(H(t, p, q, Z), (\partial^{\mu} \bar{f}(\bar{p}), \partial^{\mu} f(p))_{|\mu| \le 2sN'}\right)}{D_{j_0}\left(H(t, p, q, Z), (\partial^{\mu} \bar{f}(\bar{p}), \partial^{\mu} f(p))_{|\mu| \le 2sN'}\right)}$$

where the denominator in (3.35) does not vanish identically for (t, p, Z) as above.

## 4. Proofs of Theorems 1.1 and 1.4 and Corollary 1.5

4.1. Meromorphic extension to a larger neighborhood – Proof of Theorem 1.4. Without loss of generality, we may assume that  $p_0 = 0$ . Let  $\Omega := W$ where W is given by Proposition 3.7. Let  $q \in \Omega$  and  $f: (M,q) \to \mathbb{S}^{2N'-1}$  be a germ of a  $\mathcal{C}^{\infty}$ -smooth CR map. As already mentioned, we may assume that f extends holomorphically to a neighborhood of q in  $\mathbb{C}^N$ . Choosing some value of  $t \in V$  and  $p \in W$  such that the denominator in (3.35) does not vanish identically, we see that f admits a meromorphic extension to all of  $\Omega$ . The second part of the theorem follows from the first part in conjunction with [17]. The proof is complete.

4.2. **Proof of Corollary 1.5.** Fix  $p_0 \in M$  and  $f: (M, p_0) \to \mathbb{S}^{2N'-1}$  as in the corollary. It follows from Theorem 1.4 that f extends holomorphically along any path in M starting from  $p_0$ . Hence, since M is connected and simply-connected, we can extend, by analytic continuation, the local map f holomorphically to a neighborhood of M in  $\mathbb{C}^N$ .

The second part of Corollary 1.5 follows from the first part of it together with the regularity results in [25,41].

4.3. Unique jet determination – Proof of Theorem 1.1. The proof of Theorem 1.1 will follow once we have proved the following:

**Proposition 4.1.** Let  $M \subset \mathbb{C}^N$  be a generic real-analytic minimal submanifold through 0. Then there exists a neighborhood  $U_0$  of 0 in  $\mathbb{C}^N$  and an integer K > 0such that for every  $q \in M \cap U_0$ , if  $f, g: (\mathbb{C}^N, q) \to \mathbb{C}^{N'}$  are two germs of holomorphic maps sending M into  $\mathbb{S}^{2N'-1}$  with  $j_q^K f = j_q^K g$ , then f = g.

Proof. Let H, V, W and the collection of polynomial maps  $P_j$  and  $D_j, 1 \leq j \leq J$ , be given by Proposition 3.7. For each  $\mathbb{C}^{N'}$ -valued polynomial map  $P_j$ , we write  $P_j = (P_{j,1}, \ldots, P_{j,N'})$ . Shrinking V and W if necessary we may assume that the map H is real-analytic in a neighborhood of the closure of  $V \times W^3$ . We also introduce, for every  $\mu \in \mathbb{N}^N$ , new independent complex variables  $\Lambda^{\mu} \in \mathbb{C}^{N'}$  and  $\widehat{\Lambda}^{\mu} \in \mathbb{C}^{N'}$ , and write  $\Lambda = (\Lambda^{\mu})_{|\mu| \leq 2sN'}, \ \widehat{\Lambda} = (\widehat{\Lambda}^{\mu})_{|\mu| \leq 2sN'}$ . For any open set  $\Omega$ in some real manifold, we write  $\mathcal{A}(\overline{\Omega})$  for the ring of real-analytic functions in a neighborhood of  $\overline{\Omega}$ . For  $1 \leq i, j \leq J, 1 \leq \nu \leq N'$ , we set

(4.1) 
$$\begin{aligned} R_{i,j,\nu}(t,p,q,Z,\Lambda,\widehat{\Lambda}) &:= P_{i,\nu}(H(t,p,q,Z),\overline{\Lambda},\Lambda) \ D_j(H(t,p,q,Z),\widehat{\Lambda},\widehat{\Lambda}) \\ &- P_{j,\nu}(H(t,p,q,Z),\overline{\widehat{\Lambda}},\widehat{\Lambda}) \ D_i(H(t,p,q,Z),\overline{\Lambda},\Lambda), \end{aligned}$$

and also define, for each  $\alpha \in \mathbb{N}^N$ ,

$$R^{\alpha}_{i,j,\nu}(t,p,q,\Lambda,\widehat{\Lambda}) := \frac{\partial^{|\alpha|} R_{i,j,\nu}}{\partial Z^{\alpha}}(t,p,q,q,\Lambda,\widehat{\Lambda}) \in \mathcal{A}\left(\overline{V \times W^2}\right) \left[\Lambda,\widehat{\Lambda},\overline{\Lambda},\overline{\widehat{\Lambda}}\right].$$

For  $1 \leq i, j \leq J$  and  $1 \leq \nu \leq N'$ , let  $\mathcal{I}_{i,j,\nu}$  be the ideal generated by the  $R^{\alpha}_{i,j,\nu}$  for  $\alpha \in \mathbb{N}^N$  in the ring  $\mathcal{R} := \mathcal{A}\left(\overline{V \times W^2}\right) \left[\Lambda, \overline{\Lambda}, \widehat{\Lambda}, \widehat{\overline{\Lambda}}\right]$ . By [26], the ring  $\mathcal{A}(\overline{V \times W^2})$  is noetherian, and therefore, so is  $\mathcal{R}$ . Hence there is an integer  $\ell_{i,j,\nu}$  such that  $\mathcal{I}_{i,j,\nu}$  is generated, as an ideal in  $\mathcal{R}$ , by the  $R^{\alpha}_{i,j,\nu}$  for  $|\alpha| \leq \ell_{i,j,\nu}$ . Set  $K = \max\{\ell_{i,j,\nu} : 1 \leq i, j \leq J, 1 \leq \nu \leq N'\}$ . We claim that the conclusion of the proposition holds with  $U_0 = W$  and the above mentioned choice of K.

Indeed, pick  $q \in M \cap W$  and assume that  $f, g: (\mathbb{C}^N, q) \to \mathbb{C}^{N'}$  are two germs of holomorphic maps sending M into  $\mathbb{S}^{2N'-1}$ , with  $j_q^K f = j_q^K g$ . It follows from Proposition 3.7 that we may find  $1 \leq j_1, j_2 \leq J$  such that

(4.2) 
$$f(Z) = \frac{P_{j_1}\left(H(t, p, q, Z), (\partial^{\mu} f(\bar{p}), \partial^{\mu} f(p))_{|\mu| \le 2sN'}\right)}{D_{j_1}\left((H(t, p, q, Z), (\partial^{\mu} \bar{f}(\bar{p}), \partial^{\mu} f(p))_{|\mu| \le 2sN'}\right)}$$

(4.3) 
$$g(Z) = \frac{P_{j_2}\left(H(t, p, q, Z), (\partial^{\mu}\bar{g}(\bar{p}), \partial^{\mu}g(p))_{|\mu| \le 2sN'}\right)}{D_{j_2}\left((H(t, p, q, Z), (\partial^{\mu}\bar{g}(\bar{p}), \partial^{\mu}g(p))_{|\mu| \le 2sN'})\right)}$$

Licensed to TAMELSER778. Prepared on Sat Dec 25 00:57:55 EST 2021 for download from IP 192.195.94.49. License or copyright restrictions may apply to redistribution; see https://www.ams.org/journal-terms-of-use for Z sufficiently close to  $q, t \in V$ , and  $p \in M$  sufficiently close to q. Since  $f(Z) - g(Z) = O(|Z - q|^{K+1})$ , we get that for all t, Z and p as above, and for  $1 \leq \nu \leq N'$ ,

$$R_{j_1,j_2,\nu}(t,p,q,Z,(\partial^{\mu}f(p))_{|\mu|\leq 2sN'},(\partial^{\mu}g(p))_{|\mu|\leq 2sN'})=O(|Z-q|^{K+1}),$$

or equivalently that

$$R^{\alpha}_{j_1,j_2,\nu}(t,p,q,(\partial^{\mu}f(p))_{|\mu| \le 2sN'},(\partial^{\mu}g(p))_{|\mu| \le 2sN'}) = 0, \ |\alpha| \le K.$$

By the choice of  $K = \ell^0$ , we get that for  $\nu = 1, \ldots, N'$ ,

$$R_{j_1,j_2,\nu}(t,p,q,Z,(\partial^{\mu}f(p))_{|\mu| \le 2sN'},(\partial^{\mu}g(p))_{|\mu| \le 2sN'}) = 0$$

for  $Z \in W$ ,  $t \in V$ , and  $p \in M$  sufficiently close to q, which implies that f(Z) = g(Z) for Z close to q, i.e. f = g.

Since any real-analytic CR submanifold in  $\mathbb{C}^N$  is locally biholomorphically equivalent to a product manifold  $\Sigma \times \{0\} \subset \mathbb{C}^{N-e} \times \mathbb{C}^e$  for some real-analytic generic submanifold  $\Sigma \subset \mathbb{C}^{N-e}$ , the following result follows at once from Proposition 4.1 and [40].

**Proposition 4.2.** Let  $M \subset \mathbb{C}^N$  be a real-analytic minimal CR submanifold through the origin. Then there exists a neighborhood  $M_0$  of 0 in M and an integer K > 0such that for every  $q \in M_0$ , if  $f, g: (M, q) \to \mathbb{S}^{2N'-1}$  are two germs of  $\mathcal{C}^{\infty}$ -smooth CR maps with  $j_q^K f = j_q^K g$ , then f = g.

Theorem 1.1 is then a straightforward consequence of Proposition 4.2.

#### Acknowledgments

The authors would like to thank the referees for their remarks which improved the readability of the paper.

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