

### 3.1 Vector Space Definition and Examples

Definition: A **vector space** is a set  $V$  on which two operations: addition (+) and scalar multiplication ( $\bullet$ ) are defined, and the following conditions are satisfied:

The operation + (vector addition) must satisfy the following conditions:

**Closure under addition:** If  $x \in V, y \in V$ , then  $x + y \in V$ .

(1). Commutative law: For all  $x, y \in V, x + y = y + x$

(2). Associative law:  $x, y, z \in V, x + (y + z) = (x + y) + z$

(3). Zero element: The set  $V$  contains a zero element, denoted by  $0$  (also called zero vector) such that  $0 + x = x + 0 = x$

(4). Additive inverses: For any  $x \in V$ , there exists an element  $y \in V$  (also called negative of  $x$ ), such that  $x + y = y + x = 0$

The operation  $\bullet$  (scalar multiplication) is defined between scalars and vectors, and must satisfy the following conditions:

**Closure under scalar multiplication:** If  $x \in V$ , and  $\alpha$  is a scalar, then the product  $\alpha \bullet x \in V$

(5). Distributive law: If  $x, y \in V$ , and  $\alpha$  is a scalar, then the product  $\alpha \bullet (x + y) = \alpha \bullet x + \alpha \bullet y$

(6). Distributive law: If  $x \in V$ , and  $\alpha, \beta$  is a scalar, then the product  $(\alpha + \beta) \bullet x = \alpha \bullet x + \beta \bullet x$

(7). Associative law: If  $x \in V$ , and  $\alpha, \beta$  is a scalar, then  $(\alpha\beta) \bullet x = \alpha \bullet (\beta \bullet x)$

(8). Unitary law: If  $x \in V$ , then  $1 \bullet x = x$

Example 1: Let  $R^{m \times n}$  denote the set of all  $m \times n$  matrices with real entries (elements). Let

$A = (a_{ij})_{m \times n}, B = (b_{ij})_{m \times n} \in R^{m \times n}$ ,  $\alpha$  is a real number. Vector addition is defined by

$A + B = (a_{ij} + b_{ij})_{m \times n}$ . Scalar multiplication is defined as  $\alpha A = (\alpha a_{ij})_{m \times n}$ . Prove  $R^{m \times n}$  is a vector space.

Prove: We have to check Closure under addition and scalar multiplication on top of the other 8 conditions. Given any  $A = (a_{ij})_{m \times n}, B = (b_{ij})_{m \times n}, C = (c_{ij})_{m \times n} \in R^{m \times n}$ ,  $\alpha, \beta$  are real numbers.

Closure under addition: It is clear that  $A + B = (a_{ij} + b_{ij})_{m \times n} \in R^{m \times n}$

(1).  $A + B = (a_{ij} + b_{ij})_{m \times n} = (b_{ij} + a_{ij})_{m \times n} = B + A$

$$(2). A + (B + C) = (a_{ij})_{m \times n} + (b_{ij} + c_{ij})_{m \times n} = (a_{ij} + b_{ij} + c_{ij})_{m \times n}$$

$$(A + B) + C = (a_{ij} + b_{ij})_{m \times n} + (c_{ij})_{m \times n} = (a_{ij} + b_{ij} + c_{ij})_{m \times n}$$

$$A + (B + C) = (A + B) + C$$

(3). zero matrix  $0 = (0)_{m \times n}$  is a zero element in  $R^{m \times n}$

(4). For any  $A = (a_{ij})_{m \times n} \in R^{m \times n}$ , there exists  $B = (-a_{ij})_{m \times n} \in R^{m \times n}$ , such that  $A + B = B + A = 0$ . So there exists an additive inverse (negative)

Closure under scalar multiplication:  $\alpha A = (\alpha a_{ij})_{m \times n} \in R^{m \times n}$

$$(5). \alpha(A + B) = \alpha(a_{ij} + b_{ij})_{m \times n} = (\alpha a_{ij} + \alpha b_{ij})_{m \times n} = (\alpha a_{ij})_{m \times n} + (\alpha b_{ij})_{m \times n} = \alpha A + \beta B$$

$$(6). (\alpha + \beta)A = ((\alpha + \beta)a_{ij})_{m \times n} = (\alpha a_{ij} + \beta a_{ij})_{m \times n} = (\alpha a_{ij})_{m \times n} + (\beta a_{ij})_{m \times n} = \alpha A + \beta A$$

$$(7). (\alpha\beta)A = ((\alpha\beta)a_{ij})_{m \times n} = (\alpha(\beta a_{ij}))_{m \times n} = \alpha(\beta a_{ij})_{m \times n} = \alpha(\beta A)$$

$$(8). 1A = (1a_{ij})_{m \times n} = (a_{ij})_{m \times n} = A$$

Exercise: Let  $F[a, b]$  be the set of all real valued functions that are defined on the interval  $[a, b]$ . Then given any two "vectors",  $f = f(x), g = g(x) \in F[a, b]$ , and any scalar  $\alpha$  define addition and scalar multiplication as,

$$(f + g)(x) = f(x) + g(x), \quad (\alpha f)(x) = \alpha f(x)$$

Under these operations  $F[a, b]$  is a vector space.

Example 2: Suppose that the set  $V$  is the set of positive real numbers (i.e,  $x > 0$ ) with addition and scalar multiplication defined as follows: Let  $x, y \in V, \alpha$  a real number,

$$x \oplus y = xy, \alpha \otimes x = x^\alpha$$

Prove: Closure under addition:  $x \oplus y = xy$ . Since  $x > 0, y > 0$ , so  $xy > 0$ , i.e.,  $xy \in V$ , so  $x \oplus y \in V$

$$(1). x \oplus y = xy = yx = y \oplus x$$

$$(2). (x \oplus y) \oplus z = (xy) \oplus z = (xy)z = x(yz) = x \oplus (yz) = x \oplus (y \oplus z)$$

$$(3). \text{Scalar } 1 \text{ is the zero element or zero vector since } x \oplus 1 = x1 = x = x1 = x \oplus 1$$

(4). Additive inverse (negative) of  $x$  is  $\frac{1}{x}$  since  $x \oplus \frac{1}{x} = x \frac{1}{x} = 1$ ,  $\frac{1}{x} \oplus x = \frac{1}{x} x = 1$

Closure under multiplication:  $\alpha \otimes x = x^\alpha > 0$ , so  $\alpha \otimes x \in V$

(5).  $\alpha \otimes (x \oplus y) = \alpha \otimes (xy) = (xy)^\alpha = x^\alpha y^\alpha = x^\alpha \oplus y^\alpha = \alpha \otimes x \oplus \alpha \otimes y$

(6).  $(\alpha + \beta) \otimes x = x^{\alpha+\beta} = x^\alpha x^\beta = \alpha \otimes x \oplus \beta \otimes x$

(7).  $(\alpha\beta) \otimes x = x^{\alpha\beta} = (x^\beta)^\alpha = \alpha \otimes x^\beta = \alpha \otimes (\beta \otimes x)$

(8).  $1 \otimes x = x^1 = x$

Example 3: The set  $V = \mathbb{R}^2$  with the vector addition and scalar multiplication defined as following: Let  $u = (u_1, u_2), v = (v_1, v_2) \in \mathbb{R}^2, \alpha$  is a scalar,

$$u + v = (u_1 + v_1, u_2 + v_2), \alpha \otimes u = (u_1, \alpha u_2)$$

is NOT a vector space.

It's clear two closure conditions are satisfied. We just check every condition as before, you will find conditions (1)-(4) correct.

(6). Let  $\beta$  be a scalar.

$$(\alpha + \beta) \otimes u = (u_1, (\alpha + \beta)u_2)$$

$$\alpha \otimes u + \beta \otimes u = (u_1, \alpha u_2) + (u_1, \beta u_2) = (2u_1, (\alpha + \beta)u_2)$$

So  $(\alpha + \beta) \otimes u \neq \alpha \otimes u + \beta \otimes u$

Condition (6) is not valid, thus it is not a vector space.

Example 4: The set  $V = \mathbb{R}^2$  with the vector addition and scalar multiplication defined as following: Let  $u = (u_1, u_2), v = (v_1, v_2) \in \mathbb{R}^2, \alpha$  is a scalar,

$$u + v = (u_1 + v_1, u_2 + v_2), \alpha \otimes u = (0, \alpha u_2)$$

is NOT a vector space.

It's clear two closure conditions are satisfied. We just check every condition as before, you will find conditions (1)-(7) correct.

(8).  $1 \otimes u = (0, u_2) \neq (u_1, u_2) = u$

Condition (8) is not valid, thus it is not a vector space.

Exercise: The set  $V = R^2$  with the vector addition and scalar multiplication defined as following:

Let  $u = (u_1, u_2), v = (v_1, v_2) \in R^2, \alpha$  is a scalar,

$$u \oplus v = (u_1 + v_1, 0), \quad \alpha u = (\alpha u_1, \alpha u_2)$$

is NOT a vector space.

It's clear two closure conditions are satisfied. We just check every condition as before, you will find conditions (1)-(5) correct.

(6).

$$(\alpha + \beta)(u_1, u_2) = ((\alpha + \beta)u_1, (\alpha + \beta)u_2)$$

$$\alpha u \oplus \beta u = (\alpha u_1, \alpha u_2) \oplus (\beta u_1, \beta u_2) = ((\alpha + \beta)u_1, 0)$$

$$(\alpha + \beta)u \neq \alpha u \oplus \beta u$$

Exercise: Let  $V = \{(1, a) \mid a \in R\}$  with addition and scalar multiplication defined as following:

Let  $u = (u_1, u_2), v = (v_1, v_2) \in R^2, \alpha$  is a scalar,

$$u \oplus v = (u_1 + v_1, u_2 + v_2), \quad \alpha u = (\alpha u_1, \alpha u_2)$$

is NOT a vector space.

(You can easily find out it does not satisfy Closure under Addition.  $(1, 2) + (1, 3) = (2, 5) \notin V$  )

Properties: Let  $V$  is a vector space and  $x$  is any element of  $V$ , then

(i).  $0x = 0$

(ii).  $x + y = 0$  implies that  $y = -x$  (the additive inverse  $x$  is unique)

(iii).  $(-1)x = -x$

HW: 5, 12, 13, 15.